



UNIVERSITY OF  
**GEORGIA**

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# Introduction to genomics

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BLUPF90 TEAM, 02/2022

# Genomic Information

articles

## Initial sequencing and analysis of the human genome

International Human Genome Sequencing Consortium\*

\* A partial list of authors appears on the opposite page. Affiliations are listed at the end of the paper.

The human genome holds an extraordinary trove of information about human development, physiology, medicine and evolution. Here we report the results of an international collaboration to produce and make freely available a draft sequence of the human genome. We also present an initial analysis of the data, describing some of the insights that can be gleaned from the sequence.

The rediscovery of Mendel's laws of heredity in the opening weeks of the 20th century<sup>1-3</sup> sparked a scientific quest to understand the nature and content of genetic information that has propelled biology for the last hundred years. The scientific progress made falls naturally into four main phases, corresponding roughly to the four quarters of the century. The first established the cellular basis of heredity: the chromosomes. The second defined the molecular basis of heredity: the DNA double helix. The third unlocked the informational basis of heredity, with the discovery of the biological mechanism by which cells read the information contained in genes and with the invention of the recombinant DNA technologies of cloning and sequencing by which scientists can do the same.

The last quarter of a century has been marked by a relentless effort to decipher first genes and then entire genomes, spawning the field of genomics. The fruits of this work already include the sequences of 599 viruses and viroids, 205 naturally occurring plasmids, 185 organelles, 31 eubacteria, seven archaea, two animals and one plant.

Here we report the results of a collaboration involving 20 countries from the United States, the United Kingdom, Japan, Germany and China to produce a draft sequence of the human genome. The draft genome sequence was generated from a map covering more than 96% of the euchromatic part of the genome and, together with additional sequence in public databases, it covers about 94% of the human genome. The sequence was produced over a relatively short period, with coverage rising from about 10% to more than 90% over roughly fifteen months. Sequence data have been made available without restriction, updated daily throughout the project. The task ahead is to produce a finished sequence, by closing all gaps and resolving all ambiguities. Already about one billion bases are in final form and the bringing of the vast majority of the sequence to this standard is straightforward and should proceed rapidly.

The sequence of the human genome is of interest in many respects. It is the largest genome to be extensively sequenced, being 25 times as large as any previously sequenced genome and eight times as large as the sum of all such genomes. It is the first vertebrate genome to be extensively sequenced. And, uniquely, it is the genome of our own species.

Much work remains to be done to produce a complete sequence, but the vast trove of information that has become available through this collaborative effort allows a global perspective on the human genome. Although the details will change as more sequence is finished, many points are already clear.

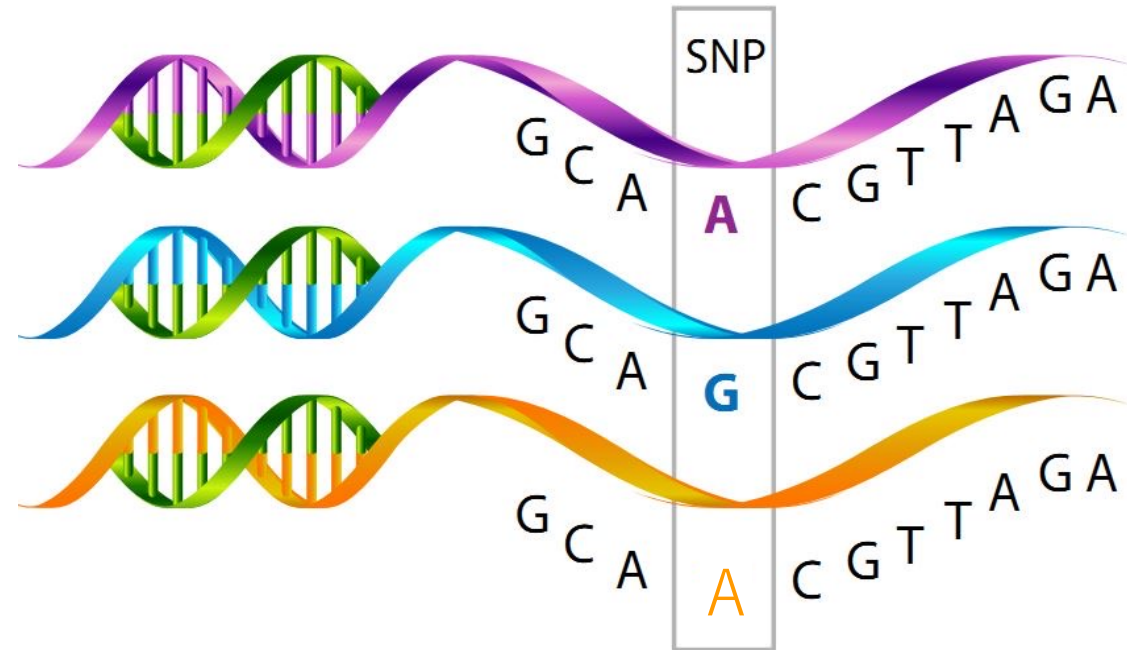
The genomic landscape shows marked variation in the distribution of a number of features, including genes, transposable elements, GC content, CpG islands and recombination rates. These features give us important clues about function. For example, the developmentally important HOX gene clusters are the most repetitive regions of the human genome, probably reflecting the very c

coordinate regulation of the genes in the clusters.

There appear to be about 30,000–40,000 protein-coding genes in the human genome—only about twice as many as in worm or fly. However, the genes are more complex, with more alternative splicing generating a larger number of protein products.

The full set of proteins (the 'proteome') encoded by the human genome is more complex than those of invertebrates. This is due in part to the presence of vertebrate-specific protein domains and motifs (an estimated 7% of the total), but more to the fact that vertebrates appear to have arranged pre-existing components into a richer collection of domain architectures.

Hundreds of human genes appear likely to have resulted from



<http://neuroendocrine.files.wordpress.com/2014/03/snp.png>

Mutation < 1% < SNP

# What are SNP used for?

Theor Appl Genet (1983) 67:25–33



## Genetic polymorphism in varietal identification and genetic improvement \*

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Communicated by A. Robertson

**Summary.** New sources of genetic polymorphisms promise significant additions to the number of useful genetic markers in agricultural plants and animals, and prompt this review of potential applications of polymorphic genetic markers in plant and animal breeding. Two major areas of application can be distinguished. The first is based on the utilization of genetic markers to determine genetic relationships. These applications include varietal identification, protection of breeder's rights, and parentage determination. The second area of application is based on the use of genetic markers to identify and map loci affecting quantitative traits, and to monitor these loci during introgression or selection programs. A variety of breeding applications based on

Use of DNA polymorphisms as genetic markers

- Construct genetic relationships
- Parentage determination
- Identification of QTL

RFLP

Expensive



# Excitement about genomics

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## Prediction of Total Genetic Value Using Genome-Wide Dense Marker Maps

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Manuscript received August 17, 2000  
Accepted for publication January 17, 2001

- Genotyping will become cheap
  - Thousands of SNP
- Compute GEBV based on SNP
  - High accuracy
  - Animals with no phenotypes
  - Select the best animals earlier

# Genotyping became cheaper in 2008

- First genomic evaluation for dairy and beef cattle in 2009
  - \$300 in 2009 vs. \$30 in 2022
  - 50,000 SNP

What about statistical methods able to fit genomic information?



# Statistical methods before genomics

- BLUP (Henderson, 1949 - 1976)
  - Best: minimizes MSE
  - Linear: linear function of the data
  - Unbiased:  $E(u) = E(\hat{u})$
  - Prediction: for random effects

Statistical Science  
1991, Vol. 6, No. 1, 15-51

**That BLUP Is a Good Thing: The Estimation of  
Random Effects**

G. K. Robinson

$$\begin{bmatrix} \mathbf{X}'\mathbf{X} & \mathbf{X}'\mathbf{W} \\ \mathbf{W}'\mathbf{X} & \mathbf{W}'\mathbf{W} + \mathbf{A}^{-1} \frac{\sigma_e^2}{\sigma_u^2} \end{bmatrix} \begin{bmatrix} \hat{\boldsymbol{\beta}} \\ \hat{\mathbf{u}} \end{bmatrix} = \begin{bmatrix} \mathbf{X}'\mathbf{y} \\ \mathbf{W}'\mathbf{y} \end{bmatrix}$$

# Henderson's MME

- Model

$$\mathbf{y} = \mathbf{X}\boldsymbol{\beta} + \mathbf{W}\mathbf{u} + \mathbf{e}$$

- Joint probability of phenotypes and EBV

$$p(\mathbf{y}, \mathbf{u}) = p(\mathbf{u}|\mathbf{y}) p(\mathbf{y}) = p(\mathbf{y}|\mathbf{u}) p(\mathbf{u})$$

- Joint probability density function of phenotypes and EBV

$$p(\mathbf{y}, \mathbf{u}) = p(\mathbf{y}|\mathbf{u}) p(\mathbf{u}) = \frac{1}{\sqrt{2\pi|\mathbf{R}|}} e^{-\frac{1}{2}(\mathbf{y}-\mathbf{X}\boldsymbol{\beta}-\mathbf{W}\mathbf{u})'\mathbf{R}^{-1}(\mathbf{y}-\mathbf{X}\boldsymbol{\beta}-\mathbf{W}\mathbf{u})} \frac{1}{\sqrt{2\pi|\mathbf{G}|}} e^{-\frac{1}{2}(\mathbf{u}-\mathbf{0})'\mathbf{G}^{-1}(\mathbf{u}-\mathbf{0})}$$

$$\begin{cases} \mathbf{X}'\mathbf{R}^{-1}\mathbf{X}\boldsymbol{\beta} + \mathbf{X}'\mathbf{R}^{-1}\mathbf{W}\mathbf{u} = \mathbf{X}'\mathbf{R}^{-1}\mathbf{y} \\ \mathbf{W}'\mathbf{R}^{-1}\mathbf{X}\boldsymbol{\beta} + (\mathbf{W}'\mathbf{R}^{-1}\mathbf{W} + \mathbf{G}^{-1})\mathbf{u} = \mathbf{W}'\mathbf{R}^{-1}\mathbf{y} \end{cases} \quad \begin{bmatrix} \mathbf{X}'\mathbf{X} & \mathbf{X}'\mathbf{W} \\ \mathbf{W}'\mathbf{X} & \mathbf{W}'\mathbf{W} + \mathbf{A}^{-1} \frac{\sigma_e^2}{\sigma_u^2} \end{bmatrix} \begin{bmatrix} \hat{\boldsymbol{\beta}} \\ \hat{\mathbf{u}} \end{bmatrix} = \begin{bmatrix} \mathbf{X}'\mathbf{y} \\ \mathbf{W}'\mathbf{y} \end{bmatrix}$$

# Henderson's MME for dairy in 1989

- BLUP (Henderson, 1949 - 1976)
- Implementation for dairy in 1989

## National genetic improvement programs for dairy cattle in the United States

G. R. Wiggans

*J Anim Sci* 1991. 69:3853-3860.

### Challenges

Genetic improvement programs are in a period of rapid change. Advances in computer capability enable adoption of sophisticated computational procedures. Advances in repro-



Journal of Dairy Science  
Volume 71, Supplement 2, June 1988, Pages 54-69



## Implementation of an Animal Model for Genetic Evaluation of Dairy Cattle in the United States

G.R. Wiggans, I. Misztal, L.D. Van Vleck

- 9.5 M animals
- 11 M lactations
- 23.5 M equations to solve
- 7.5 hours

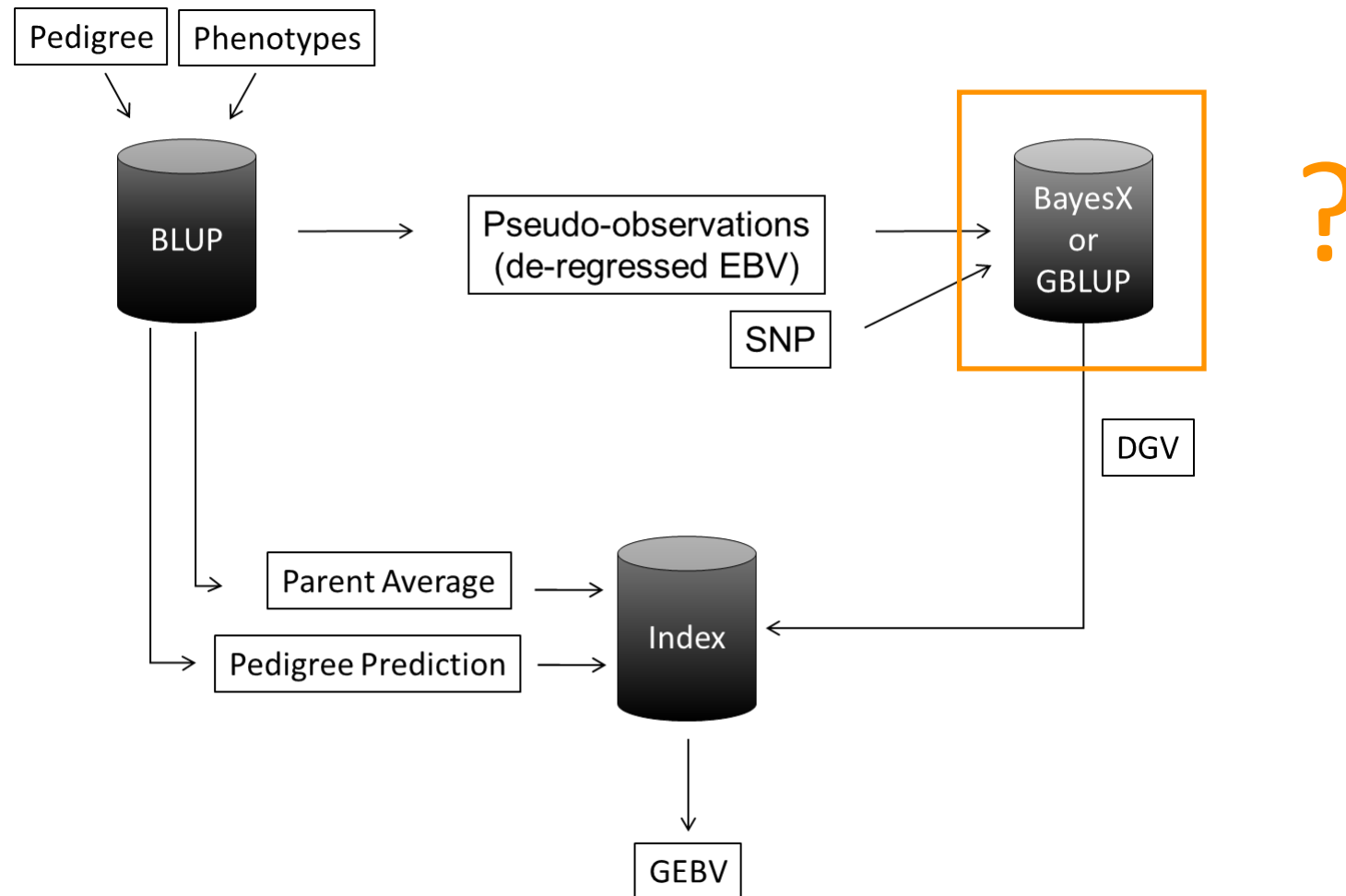
### ACKNOWLEDGMENTS

This research was conducted using the Cornell National Supercomputer Facility, a resource of the



# From 1989 to 2009

- How to add genomic information to the evaluation system in 2009?



**Multistep**

# Bayesian Alphabet

- SNP effect models = outputs SNP effects
- BayesA (Meuwissen et al., 2001)
  - All SNPs have effect on the trait (few with large effect)  $a_i \sim N(\mu, \sigma_{a_i}^2)$
  - Different variances for each SNP
- BayesB (Meuwissen et al., 2001)
  - $p(a_i | \sigma_{a_i}^2, \pi) = \begin{cases} t(0, \nu, \sigma_{a_i}^2) \text{ or } N(0, \sigma_{a_i}^2) \text{ with probability } (1 - \pi) \\ 0 \text{ with probability } \pi \end{cases}$
- When  $\pi = 0$ , BayesB becomes BayesA

# Bayesian Alphabet

- BayesC (Habier et al., 2011)

- $p(a_i | \sigma_a^2) = \begin{cases} N(0, \sigma_a^2) \text{ with probability } (1 - \pi) \\ 0 \text{ with probability } \pi \end{cases}$

- BayesR (Erbe et al., 2012)

- $p(a_i | \pi, \sigma_a^2) = \pi_1 \times N(0, 0 \times \sigma_u^2) + \pi_2 \times N(0, 10^{-4} \times \sigma_u^2) + \pi_3 \times N(0, 10^{-3} \times \sigma_u^2) + \pi_4 \times N(0, 10^{-2} \times \sigma_u^2)$

- BayesRC (MacLeod et al., 2016)

- BayesR using biological information to assign SNP to classes

- High computing cost and simple models

- After > 10 years, assumption of normality is good enough!

# SNP-BLUP (ridge regression)

- SNP effect model = outputs SNP effects
- $a \sim N(0, \sigma_a^2)$

$$\mathbf{y} = \mathbf{X}\boldsymbol{\beta} + \mathbf{Z}\mathbf{a} + \mathbf{e}$$

$$\begin{bmatrix} \mathbf{X}'\mathbf{X} & \mathbf{X}'\mathbf{Z} \\ \mathbf{Z}'\mathbf{X} & \mathbf{Z}'\mathbf{Z} + \mathbf{I} \frac{\sigma_e^2}{\sigma_a^2} \end{bmatrix} \begin{bmatrix} \hat{\boldsymbol{\beta}} \\ \hat{\mathbf{a}} \end{bmatrix} = \begin{bmatrix} \mathbf{X}'\mathbf{y} \\ \mathbf{Z}'\mathbf{y} \end{bmatrix}$$

$$\text{GEBV} = \mathbf{Z}\hat{\mathbf{a}}$$

- All SNP explain the same proportion of variance on the trait

# SNP-BLUP (ridge regression)

- SNP effect model = outputs SNP effects
- All SNP explain the same proportion of variance on the trait

$$\mathbf{GEBV} = \mathbf{Z}\hat{\mathbf{a}}$$

$$\mathbf{u} = \mathbf{Z}\hat{\mathbf{a}}$$

$$\text{Var}(\mathbf{u}) = \text{Var}(\mathbf{Z}\mathbf{a})$$

$$\text{Var}(\mathbf{u}) = \mathbf{Z} \text{Var}(\mathbf{a}) \mathbf{Z}'$$

$$\text{Var}(\mathbf{u}) = \mathbf{Z}\mathbf{Z}'\sigma_a^2$$

$$\sigma_a^2 = \frac{\sigma_u^2}{2 \sum_{i=1}^{SNP} p_i(1 - p_i)}$$

$$\text{Var}(\mathbf{u}) = \mathbf{Z}\mathbf{Z}' \frac{\sigma_u^2}{2 \sum_{i=1}^{SNP} p_i(1 - p_i)}$$

$$\text{Var}(\mathbf{u}) = \frac{\mathbf{Z}\mathbf{Z}'}{2 \sum_{i=1}^{SNP} p_i(1 - p_i)} \sigma_u^2$$

Genomic  
relationship matrix  
VanRaden (2008)

$$\mathbf{G} = \frac{\mathbf{Z}\mathbf{Z}'}{2 \sum_{i=1}^{SNP} p_i(1 - p_i)}$$

$$\text{Var}(\mathbf{u}) = \mathbf{G}\sigma_u^2$$



GBLUP assumption!!!

# Understanding SNP variance

$$\sigma_a^2 = \frac{\sigma_u^2}{2 \sum_{i=1}^{SNP} p_i(1 - p_i)}$$

How do we get the variance of SNP effects,  $\sigma_a^2$  ?

1) You can estimate it (Bayes C, REML)

2) You can « guess » from the genetic variance  $\sigma_u^2$

SNP 1 contributes  $2p_1q_1a_1^2$  to the genetic variance

SNP 2 contributes  $2p_2q_2a_2^2$  to the genetic variance

...

$$\sigma_u^2 = 2 \sum p_i q_i a_i^2 \approx 2 \left( \sum p_i q_i \right) \times \overline{(a_i^2)} \approx 2 \left( \sum p_i q_i \right) \sigma_a^2$$

Reversing the expression gives

$$\sigma_a^2 \approx \frac{\sigma_u^2}{2(\sum p_i q_i)}$$



# GBLUP: equivalent to SNP-BLUP

- GEBV-based model = outputs genomic predictions
- $\mathbf{u} \sim N(0, \sigma_u^2)$

$$\mathbf{y} = \mathbf{X}\boldsymbol{\beta} + \mathbf{W}\mathbf{u} + \mathbf{e}$$

$$\begin{bmatrix} \mathbf{X}'\mathbf{X} & \mathbf{X}'\mathbf{W} \\ \mathbf{W}'\mathbf{X} & \mathbf{W}'\mathbf{W} + \mathbf{G}^{-1} \frac{\sigma_e^2}{\sigma_u^2} \end{bmatrix} \begin{bmatrix} \hat{\boldsymbol{\beta}} \\ \hat{\mathbf{u}} \end{bmatrix} = \begin{bmatrix} \mathbf{X}'\mathbf{y} \\ \mathbf{W}'\mathbf{y} \end{bmatrix}$$

Bernardo (1994)  
Nejati-Javaremi et al. (1997)

$$\mathbf{G} = \frac{\mathbf{Z}\mathbf{Z}'}{2 \sum p_i(1 - p_i)}$$

VanRaden (2008)

# Genomic relationship matrix

$$\mathbf{G} = \frac{\mathbf{Z}\mathbf{Z}'}{2 \sum p_i(1 - p_i)} = \frac{(\mathbf{M} - 2\mathbf{P})(\mathbf{M} - 2\mathbf{P})'}{2 \sum p_i(1 - p_i)}$$

Genotypes {0,1,2}

Shifted to refer to the average of a population with allele frequencies  $p$

Scaled to refer to the genetic variance of a population with allele frequencies  $p$

# What are genomic relationships?

- Relationships were conceived as standardized covariances (Fisher, Wright)
  - $Cov(u_i, u_j) = R_{ij}\sigma_u^2$
  - $R_{ij}$  “some” relationship
  - $\sigma_u^2$  genetic variance
- True relationships: two individuals are genetically identical (for a trait) if they carry the same genotype at the causal QTL or genes
- Genomic relationships: due to shared (Identical By State) alleles at *causal genes*
  - If I share the blood group A with someone, we are like twins!
  - Most of the genes are unknown
  - We use proxies (SNP markers)

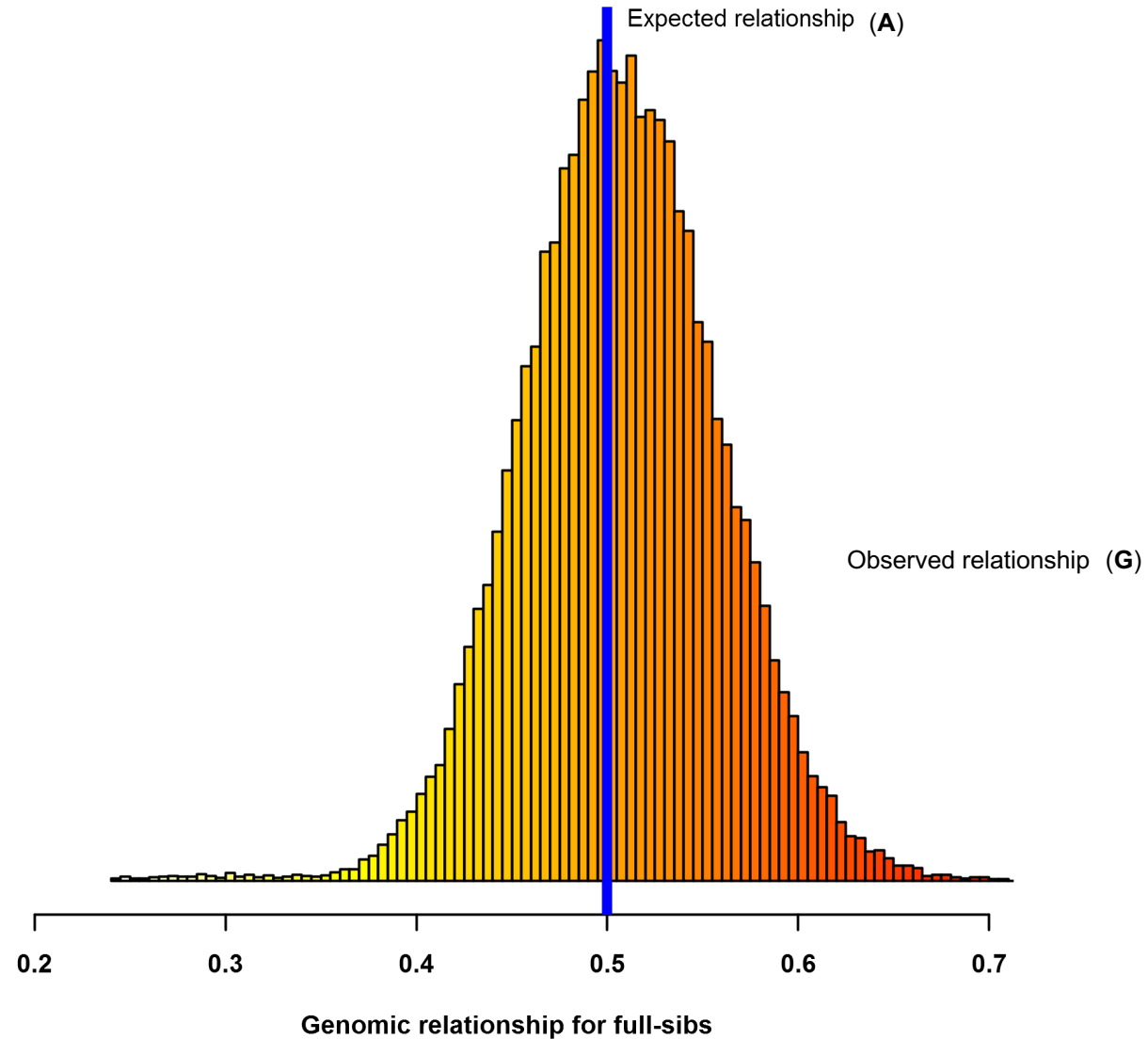
# Early use of markers to infer **A**

- **A** = pedigree relationships: due to shared (Identical By Descent) alleles at *causal genes*
  - In conservation genetics
  - Gather markers, then reconstruct pedigrees, then construct **A**
    - Either estimates of  $A_{xy}$ , or estimates of « the most likely relation » (son-daughter, cousins, whatever)
- Li and Horvitz 1953, Cockerham 1969, Ritland 1996, Caballero & Toro 2002, and many others
- With abundant marker data we can do better than this

# Pedigree vs. Genomic relationships

- Identical By Descent Relationships based on pedigree are average relationships which assume infinite loci
- « Real » IBD relationships are a bit different due to finite genome size (Hill and Weir, 2010)
- Therefore **A** is the expectation of realized or observed relationships
- SNPs more informative than **A**
  - Two full sibs might have a correlation of 0.4 or 0.6
- Many markers needed to better estimate relationships
  - Estimators of IBD

# Pedigree vs. Genomic relationships





# Genomic relationships

$$\mathbf{G} = \frac{\mathbf{Z}\mathbf{Z}'}{2 \sum p_i(1 - p_i)} = \frac{(\mathbf{M} - 2\mathbf{P})(\mathbf{M} - 2\mathbf{P})'}{2 \sum p_i(1 - p_i)}$$

Genotypes {0,1,2}

Shifted to refer to the average of a population with allele frequencies  $p$

Scaled to refer to the genetic variance of a population with allele frequencies  $p$

If base allelic frequencies are used,  $\mathbf{G}$  is an unbiased and efficient estimator of IBD realized relationships

# Some “interesting” properties of **G**

- If  $p$  are computed from the data  
This implies that  $E(\text{Breeding Values})=0$
- Positive and negative inbreeding  
Some individuals are more heterozygous than the average of the population  
(OK, no biological problem)
- Positive and negative genomic relationships  
Individuals  $i$  and  $j$  are more distinct than an average pair of individuals in the data  
Fixing negative estimates of relationships to 0 is a wrong praxis

# Some “interesting” properties of **G**

- VanRaden (2008)
  - **G** can be singular if few SNP or identical genotypes (twins)
  - **G** must be singular if number of individuals > number of SNP
- Strandén and Christensen (2011)
  - **G** is singular if  $p$ 's are averages across the sample

$$\mathbf{G} = 0.95 \frac{\mathbf{ZZ}'}{2 \sum p_i(1 - p_i)} + 0.05\mathbf{I} \quad \text{OR} \quad \mathbf{G} = 0.95 \frac{\mathbf{ZZ}'}{2 \sum p_i(1 - p_i)} + 0.05\mathbf{A} \quad \rightarrow \quad \mathbf{G} = \alpha\mathbf{G}_0 + \beta\mathbf{A}$$

- Blending  $\approx$  Adding a residual polygenic effect

# Some “interesting” properties of **G**

- For all matrices of the kind
 
$$\mathbf{G} = \frac{\mathbf{Z}\mathbf{Z}'}{2 \sum p_i(1 - p_i)} = \frac{(\mathbf{M} - 2\mathbf{P})(\mathbf{M} - 2\mathbf{P})'}{2 \sum p_i(1 - p_i)}$$
  - We don't need to put the same  $p$ 's in the upper and and in the lower part
- Changing allele frequencies in  $\mathbf{P}$  shifts EBV's by a constant
  - Irrelevant if there is an overall mean or fixed effect in the model (Stranden and Christensen, 2011)
- Changing allele frequencies in  $\frac{1}{2\sum p_i q_i}$  “scales”

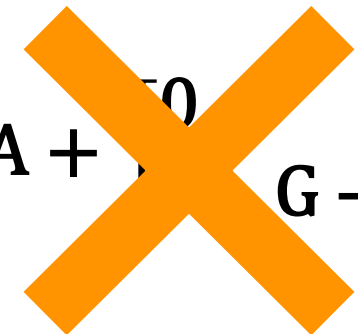
# Not all individuals are genotyped

- Genomic evaluation would be simpler if all individuals were genotyped
- What to do when there are genotyped and non-genotyped individuals?
  - SNPs are capturing relationships
  - Pedigrees give information about relationships
  - Genomic and pedigree relationships can be combined in a single matrix!

$$\mathbf{A} = \begin{bmatrix} \mathbf{A}_{11} & \mathbf{A}_{12} \\ \mathbf{A}_{21} & \mathbf{A}_{22} \end{bmatrix}$$

Non-genotyped ↖  
↙ Genotyped

$$\mathbf{A} = \begin{bmatrix} \mathbf{A}_{11} & \mathbf{A}_{12} \\ \mathbf{A}_{21} & \mathbf{G} \end{bmatrix}$$

$$\mathbf{H} = \mathbf{A} + \begin{bmatrix} 0 & 0 \\ \mathbf{G} & -\mathbf{A}_{22} \end{bmatrix}$$


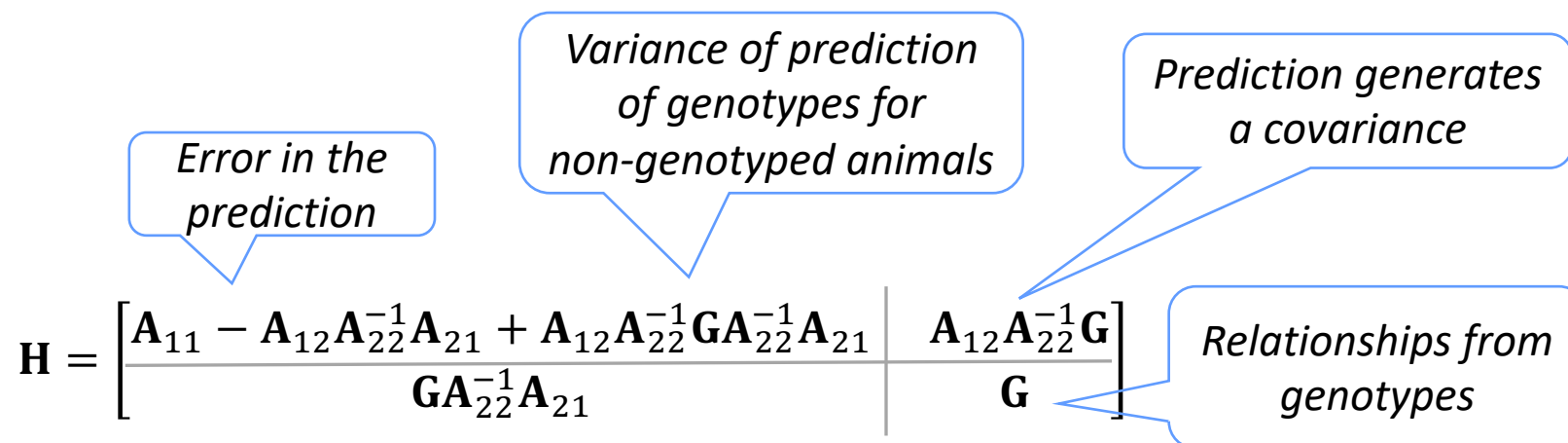
# Not all animals are genotyped

- Genomic info can be extended to non-genotyped animals
  - joint distribution of EBV for non-genotyped ( $u_1$ ) and genotyped ( $u_2$ )

$$p(u_1, u_2) = p(u_2)p(u_1|u_2)$$

Legarra et al., 2009

$$\mathbf{H} = \begin{pmatrix} \text{var}(u_1) & \text{cov}(u_1, u_2) \\ \text{cov}(u_2, u_1) & \text{var}(u_2) \end{pmatrix} = \begin{pmatrix} \mathbf{A}_{11} + \mathbf{A}_{12}\mathbf{A}_{22}^{-1}(\mathbf{G} - \mathbf{A}_{22})\mathbf{A}_{22}^{-1}\mathbf{A}_{21} & \mathbf{A}_{12}\mathbf{A}_{22}^{-1}\mathbf{G} \\ \mathbf{G}\mathbf{A}_{22}^{-1}\mathbf{A}_{21} & \mathbf{G} \end{pmatrix}$$



The diagram shows the H matrix partitioned into two columns. The first column contains the variance-covariance matrix for non-genotyped animals, and the second column contains the relationships from genotypes. Callouts explain the components:

- Error in the prediction:** Points to the term  $\mathbf{A}_{11} - \mathbf{A}_{12}\mathbf{A}_{22}^{-1}\mathbf{A}_{21}$  in the top-left element of the first column.
- Variance of prediction of genotypes for non-genotyped animals:** Points to the term  $\mathbf{A}_{12}\mathbf{A}_{22}^{-1}\mathbf{G}\mathbf{A}_{22}^{-1}\mathbf{A}_{21}$  in the top-right element of the first column.
- Prediction generates a covariance:** Points to the term  $\mathbf{A}_{12}\mathbf{A}_{22}^{-1}\mathbf{G}$  in the top-right element of the second column.
- Relationships from genotypes:** Points to the term  $\mathbf{G}$  in the bottom-right element of the second column.

$$\mathbf{H} = \left[ \begin{array}{c|c} \mathbf{A}_{11} - \mathbf{A}_{12}\mathbf{A}_{22}^{-1}\mathbf{A}_{21} + \mathbf{A}_{12}\mathbf{A}_{22}^{-1}\mathbf{G}\mathbf{A}_{22}^{-1}\mathbf{A}_{21} & \mathbf{A}_{12}\mathbf{A}_{22}^{-1}\mathbf{G} \\ \hline \mathbf{G}\mathbf{A}_{22}^{-1}\mathbf{A}_{21} & \mathbf{G} \end{array} \right]$$





# Understanding H

- It is a projection of **G** matrix on the rest of individuals “so that” **G** matrix makes sense
  - e.g. parents of two animals related in **G** should be related in **A**
- It is a Bayesian update of the pedigree matrix based on new information from genotypes
- Typically
  - **A** in the millions
  - **G** and **A**<sub>22</sub> in the thousands
  - Leads to a very efficient method of genomic evaluation:
    - Single Step GBLUP

# Some properties of $\mathbf{H}$

- Always semi-positive definite
  - eigenvalues are always positive or zero
- Positive definite & invertible if  $\mathbf{G}$  is invertible
- In practice, if  $\mathbf{G}$  is too different from  $\mathbf{A}_{22}$  (wrong pedigree or genotyping), this gives lots of numerical problems
- If no one is genotyped, Single-step is BLUP
- If everyone is genotyped, Single-step is GBLUP

# Realized relationship matrix (**H**)

Animal	Sire	Dam
1	0	0
2	0	0
3	1	2
4	1	2

Pedigree  
Relationship  
Matrix (**A**)

$$\begin{bmatrix} 1.0 & 0.0 & 0.5 & 0.5 \\ . & 1.0 & 0.5 & 0.5 \\ . & . & 1.0 & 0.5 \\ . & . & . & 1.0 \end{bmatrix}$$

Genomic  
Relationship  
Matrix (**G**)  
for animals 3 and 4

$$\begin{bmatrix} 1.0 & 0.52 \\ . & 1.0 \end{bmatrix}$$

Realized  
Relationship  
Matrix (**H**)

$$\begin{bmatrix} 1.004 & 0.0 & 0.507 & 0.507 \\ . & 1.004 & 0.507 & 0.507 \\ . & . & 1.0 & 0.52 \\ . & . & . & 1.0 \end{bmatrix}$$

# Single-step Genomic BLUP (ssGBLUP)

- Because not all animals are genotyped
  - 5% to 10% in large populations

$$\begin{bmatrix} \mathbf{X}'\mathbf{X} & \mathbf{X}'\mathbf{Z} \\ \mathbf{Z}'\mathbf{X} & \mathbf{Z}'\mathbf{Z} + \mathbf{H}^{-1} \frac{\sigma_e^2}{\sigma_u^2} \end{bmatrix} \begin{bmatrix} \hat{\boldsymbol{\beta}} \\ \hat{\mathbf{u}} \end{bmatrix} = \begin{bmatrix} \mathbf{X}'\mathbf{y} \\ \mathbf{Z}'\mathbf{y} \end{bmatrix}$$

$$\mathbf{H}^{-1} = \mathbf{A}^{-1} + \begin{bmatrix} \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{G}^{-1} - \mathbf{A}_{22}^{-1} \end{bmatrix}$$

Aguilar et al., 2010  
Christensen and Lund, 2010

# Combining two sources of relationships

$$\mathbf{H} = \mathbf{A} + \begin{bmatrix} \mathbf{A}_{12}\mathbf{A}_{22}^{-1}(\mathbf{G} - \mathbf{A}_{22})\mathbf{A}_{22}^{-1}\mathbf{A}_{21} & \mathbf{A}_{12}\mathbf{A}_{22}^{-1}(\mathbf{G} - \mathbf{A}_{22}) \\ (\mathbf{G} - \mathbf{A}_{22})\mathbf{A}_{22}^{-1}\mathbf{A}_{21} & \mathbf{G} - \mathbf{A}_{22} \end{bmatrix}$$

- **A**

- Contains expected relationships
- Is limited by the pedigree depth and completeness
- Depends on accuracy of recording pedigrees

- **G**

- Contains number of alleles shared between animals weighted by heterozygosity
- No limitations regarding to the number of past generations
- Depends on allele frequency and quality of genomic data

# Combining two sources of relationships

$$\mathbf{H}^{-1} = \mathbf{A}^{-1} + \begin{bmatrix} \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{G}^{-1} - \mathbf{A}_{22}^{-1} \end{bmatrix}$$

Computed using Henderson-Quaas' algorithm with inbreeding

Computed using VanRaden's formula, which considers inbreeding

Computed using Colleau's algorithm, which considers inbreeding

- Tuning

- Base of  $\mathbf{G}$  is *genotyped* animals
- Base of  $\mathbf{A}$  is *founders of the pedigree*
- For SSGBLUP, Vitezica et al. 2011 modeled a mean in genotyped animals:

$$p(\mathbf{u}_2) = N(\mathbf{1}\mu, \mathbf{G})$$

Integrate  $\mu$  :  $\mathbf{G}^* = a + b\mathbf{G}$

$\mu = (\text{Pedigree base}) - (\text{Genomic base})$

Tries to put G and A on the same scale

# Single-step

$$\begin{bmatrix} \mathbf{X}'\mathbf{X} & \mathbf{X}'\mathbf{Z} \\ \mathbf{Z}'\mathbf{X} & \mathbf{Z}'\mathbf{Z} + \mathbf{H}^{-1} \frac{\sigma_e^2}{\sigma_u^2} \end{bmatrix} \begin{bmatrix} \hat{\boldsymbol{\beta}} \\ \hat{\mathbf{u}} \end{bmatrix} = \begin{bmatrix} \mathbf{X}'\mathbf{y} \\ \mathbf{Z}'\mathbf{y} \end{bmatrix}$$

## ssGBLUP

Misztal et al. (2009)  
Legarra et al. (2009)  
Aguilar et al. (2010)  
Christensen & Lund (2010)

$$\begin{bmatrix} \mathbf{X}'\mathbf{X} & \mathbf{X}'\mathbf{Z}\mathbf{M} & \mathbf{X}'_n\mathbf{Z}_n \\ \mathbf{M}'\mathbf{Z}'\mathbf{X} & \mathbf{M}'\mathbf{Z}'\mathbf{Z}\mathbf{M} + \mathbf{I} \frac{\sigma_e^2}{\sigma_\alpha^2} & \mathbf{M}'_n\mathbf{Z}'_n\mathbf{Z}_n \\ \mathbf{Z}'_n\mathbf{X}_n & \mathbf{Z}'_n\mathbf{Z}_n\mathbf{M}_n & \mathbf{Z}'_n\mathbf{Z}_n + \mathbf{A}^{nn} \frac{\sigma_e^2}{\sigma_g^2} \end{bmatrix} \begin{bmatrix} \hat{\boldsymbol{\beta}} \\ \hat{\boldsymbol{\alpha}} \\ \hat{\boldsymbol{\epsilon}} \end{bmatrix} = \begin{bmatrix} \mathbf{X}'\mathbf{y} \\ \mathbf{M}'\mathbf{Z}'\mathbf{y} \\ \mathbf{Z}'_n\mathbf{y}_n \end{bmatrix}$$

## ssSNPBLUP or ssBR

Fernando et al. (2014)  
Liu et al. (2014)  
Mantysaari & Strandén (2016)

Fernando et al. *Genetics Selection Evolution* 2014, **46**:50  
<http://www.gsejournal.org/content/46/50>

equation (3) results in the usual non-genomic MME for the BVM.

### Theory underlying SSBV-BLUP

Legarra et al. [11] proposed an ingenious strategy to combine information from genotyped and non-genotyped animals in a single BLUP analysis based on a BVM, which we refer to as SSBV-BLUP. Suppose  $\mathbf{g}$  is partitioned as:

$$\mathbf{g} = \begin{bmatrix} \mathbf{g}_1 \\ \mathbf{g}_2 \end{bmatrix} = \begin{bmatrix} \mathbf{g}_1 \\ \mathbf{T}_2\boldsymbol{\alpha} \end{bmatrix},$$

We confirmed that regular ssGBLUP and ssBR with an extra polygenic effect led to the same predictions.



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### Short communication: Genomic prediction using different single-step methods in the Finnish red dairy cattle population

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QC of SNP data in BLUPF90

ssGBLUP and GBLUP in BLUPF90